

On the Implementation of the Enhanced Fujita Scale in the USA

Charles A. Doswell III ^{1,*}, Harold E. Brooks ², and Nikolai Dotzek ^{3,4}

¹ Cooperative Institute for Mesoscale Meteorological Studies, National Weather Center,
120 David L. Boren Blvd., Norman, OK 73072, U.S.A.

² NOAA/National Severe Storms Laboratory, National Weather Center,
120 David L. Boren Blvd., Norman, OK 73072, U.S.A.

³ Deutsches Zentrum für Luft- und Raumfahrt (DLR), Institut für Physik der Atmosphäre,
Oberpfaffenhofen, 82234 Wessling, Germany

⁴ European Severe Storms Laboratory (ESSL),
Münchner Str. 20, 82234 Wessling, Germany

* Corresponding Author: Dr. Charles A. Doswell III, Cooperative Institute for Mesoscale Meteorological Studies, National Weather Center, 120 David L. Boren Blvd., Norman, OK 73072, USA. Tel: +1 (405) 325-6093, Fax: +1 (405) 325-3098, eMail: cdoswell@gcn.ou.edu, <http://www.cimms.ou.edu/~doswell/>

Abstract

The history of tornado intensity rating in the United States of America (USA), pioneered by T. Fujita, is reviewed, showing that non-meteorological changes in the climatology of the tornado intensity ratings are likely, raising questions about the temporal (and spatial) consistency of the ratings. Although the Fujita scale (F-scale) originally was formulated as a peak wind speed scale for tornadoes, it necessarily has been implemented using damage to estimate the wind speed. Complexities of the damage-windspeed relationship are discussed.

Recently, the Fujita scale has been replaced in the USA as the official system for rating tornado intensity by the so-called Enhanced Fujita scale (EF-scale). Several features of the new rating system are reviewed and discussed in the context of a proposed set of *desirable* features of a tornado intensity rating system.

It is concluded that adoption of the EF-scale in the USA may have been premature, especially if it is to serve as a model for how to rate tornado intensity outside of the USA. This is in large part because its degree of damage measures used for estimating wind speeds are based on USA-specific construction practices. It is also concluded that the USA's tornado intensity rating system has been compromised by secular changes in how the F-scale has been applied, most recently by the adoption of the EF-scale. Several recommendations are offered as possible ways to help develop an improved rating system that will be applicable worldwide.

Keywords: Tornado, F-scale, EF-scale, Intensity distribution

1 **1 Introduction**

2 The National Weather Service (NWS) of the United States of America (USA) has recently
3 implemented the so-called Enhanced Fujita (EF) scale (e.g., Potter 2007) for damage-based
4 rating of tornadoes. In contrast, the Fujita (F) scale (Fujita 1971; 1981), which it has
5 replaced, was originally created as a wind speed scale. The advantage of a scale based on
6 wind speeds is that it doesn't depend on construction practices in any particular part of the
7 world; it is completely transferable anywhere. However, as Doswell and Burgess (1988)
8 point out, a wind speed scale is just not useful in practice, because wind measurements from
9 tornadoes are relatively rare. Damage continues to be the best and most useful indicator of
10 tornado intensity on a routine basis, despite the complex relationship between damage and
11 wind speed.

12 All of the tornadoes in the USA affect only a small total area annually (of order 500-
13 1000 km²), so that the probability of having measurements from in situ anemometers is quite
14 small, and such sensors are destroyed in most tornadoes anyway. Historically, only a handful
15 of anemometer measurements of tornadic winds have ever been obtained (e.g., see Figs. 75
16 and 77 of Fujita et al. 1970) and the *strongest* winds in a significant tornado could never be
17 measured this way.

18 Remote sensing of tornado winds by using the Doppler principle is possible. An
19 operational network of WSR-88D Doppler radars covers most of the USA, but physical
20 limitations (e.g., beam spreading and the radar horizon) and the operating characteristics of
21 the radars (e.g., the spatial and temporal sampling resolution) make the possibility of
22 obtaining useful tornado wind speed measurements from them for the purpose of rating
23 tornado intensity quite unlikely. Since the late 1980s, the technology for occasional probing
24 of tornadoes by *mobile* Doppler radars and lidars has been developed to overcome some of
25 the operational radar limitations (Bluestein and Unruh 1989; Wurman et al. 1997). The
26 relationship between the velocities sensed by mobile radars (typically at or above heights of
27 around 50-100 m) and the actual winds near the surface (i.e., where the damage occurs, at
28 heights of 10 m or less above ground level) remains to be determined. Some recent studies
29 (e.g., Wurman and Alexander 2005) have begun to explore this topic. Unfortunately, even if
30 a reliable and accurate method for extrapolating mobile Doppler radar measurements
31 downward to within 10 m can be developed, it will be some time before we have wind speed
32 estimates from mobile Doppler radars for even a tiny fraction of the lifetimes of another tiny
33 fraction of all tornadoes. In the USA, more than 1000 tornadoes are reported annually, but at
34 present, only around 20 tornadoes are sampled by mobile Doppler radars every year.
35 Therefore, the damage-wind speed relationship is going to be used for some time to come.

1 Herein we review some of the changes in the practice of rating tornadoes in the USA
2 that have occurred over the years and their impact on the ratings. Some of these changes were
3 intentional, while others were not. The implications for continued applicability of
4 comparisons of ratings across time and space past are troubling (e.g., Brooks and Doswell
5 2001; Dotzek et al. 2003, 2005; Feuerstein et al. 2005).

6 The paper is organized as follows: Section 2 presents a history of the tornado rating
7 system in the USA. In section 3, challenges for use of the F-scale are described and desirable
8 criteria for any rating system are described. Section 4 provides the conclusions, along with
9 our recommendations.

10
11

12 **2 History**

13 **2.1 The creation and implementation of the F-scale**

14 Professor T. Theodore Fujita developed the F-scale in the late 1960s. Prior to this, there had
15 been no formal attempt to differentiate tornado occurrences by intensity, although it certainly
16 was known that tornadoes are not uniformly intense. The F-scale was implemented nationally
17 with the support of Mr. Allen D. Pearson, then head of the National Severe Storms Forecast
18 Center in Kansas City, MO (predecessor to the current Storm Prediction Center) – part of the
19 NWS. The F-scale became the official basis for rating tornadoes in the early 1970s.

20 Shortly after its official adoption by the NWS, the Nuclear Regulatory Commission
21 sponsored an effort to develop F-scale ratings for historical tornadoes from 1950 through
22 1976 as part of a study to safeguard the nation's nuclear power generating stations,. This was
23 done by paying researchers (mainly college students) to review newspaper accounts and come
24 up with an estimate of tornado intensity for every tornado in the record. The researchers were
25 given what materials then existed to document how to make F-scale ratings. Results of this
26 project were summarized in a paper by Kelly et al. (1978), providing the first climatological
27 information about tornado intensity distributions in space and time. Since F-scale ratings
28 were to be determined thereafter for all tornado reports in the official record – *Storm Data*
29 (available from the National Climatic Data Center) – this provided for a continuing expansion
30 of the database supporting the climatology of tornado intensities based on their F-scale
31 ratings.

32

33 **2.2. Post-Event Surveys of Tornadoes Since 1950**

34 Prior to the development and operational implementation of the F-scale, the responsibility for
35 providing input for *Storm Data* had been assigned to the NWS state climatologists within

1 each state. In the early 1970s, however, those Federal state climatologist positions were
2 abolished, so the task of providing input to *Storm Data* became an additional duty for the staff
3 members at the local NWS offices in whose area of responsibility tornadoes (and other severe
4 weather) was reported. For many years thereafter, there was essentially no training program
5 for the NWS staff on how to estimate F-scale ratings.

6 Fujita did occasional detailed post-event analyses for selected tornado cases from the
7 1950s until his retirement in 1992; he and his graduate students developed a multifaceted
8 storm survey methodology, using both ground-based and aerial survey methods for assessing
9 the distribution of tornado intensities along a tornado's path (e.g., Forbes and Wakimoto
10 1983). This effort was limited to no more than a handful of events every year, typically major
11 outbreaks of tornadoes (and other types of storms). Fujita's team gained experience in doing
12 such surveys, although some uncertainty about their ratings was inevitable. The National
13 Severe Storms Laboratory (NSSL) also did occasional scientific damage surveys for events
14 within or close to Oklahoma, as part of their tornado-related research. The NWS is not
15 obligated officially to use the findings of surveys done by external agencies, but they certainly
16 have used this information to produce F-scale estimates whenever such surveys have been
17 done and the results made available. At the same time, the NWS was doing fewer of its own
18 detailed scientific surveys of major tornado events, presumably because it was expected that
19 Fujita's team (or someone else) would do this for them – such surveys are not free. The main
20 concern for the increasingly infrequent formal NWS post-storm "surveys" has evolved
21 toward assessing the quality of the service provided by the NWS during the event, rather than
22 focusing on the scientific and/or engineering issues. Individual NWS offices are responsible
23 for establishing the intensity rating for every tornado, whether or not an official NWS post-
24 event service assessment is conducted.

25 In May of 1970, a powerful tornado struck Lubbock, Texas, passing near the campus
26 of Texas Tech. University (TTU). Largely as a result of that devastating event, a wind
27 engineering research program was created at TTU, with a primary emphasis on structural
28 engineering issues. The TTU researchers began doing surveys of their own on selected
29 nearby tornado events, mostly seeking to refine the wind speed-damage relationship and to
30 answer questions about how to design structures to resist tornadic winds. By 1977, this
31 program provided its first major contribution to the topic (Minor et al. 1977), with many more
32 to follow. Eventually, the TTU wind engineers began to do surveys nationally (for a few
33 events per year), although still with an emphasis on events within and near the state of Texas.

34 Following Fujita's retirement in 1992, the number of scientifically-oriented post-event
35 surveys dropped precipitously (Speheger et al. 2002). Many important tornado events were

1 not being given a careful review by science teams, although the TTU wind engineers and
2 NSSL scientists continued to do occasional surveys, including the events of 3 May 1999 in
3 Oklahoma and Kansas.

4 In April of 2002, a tornado that struck La Plata, Maryland was initially rated by the
5 local NWS office team as an F5 tornado. Subsequent review suggested that this likely was an
6 overrating of this tornado, and its official rating eventually was downgraded to F4. In
7 response, after some deliberations, the NWS created the so-called Quick Response Team
8 (QRT), a group of volunteers with experience at damage assessments for violent tornado
9 cases. The establishment of the QRT was intended to provide "expert" assistance to any local
10 NWS survey team in cases involving one or more tornadoes that *might* be rated F4 or F5. In
11 practice, the national QRT has been called upon only rarely after its first early deployments
12 following tornadoes in May 2003. The impacts of these changes in the application of the F-
13 scale concept to the ratings will be detailed further in section 2.4.

14

15 **2.3 Development of the EF-Scale**

16 Roughly a decade ago, structural engineers led by the TTU group initiated a series of
17 discussions that began with a "Fujita Scale Forum", whose participants were invited based on
18 their established professional involvement with the tornado intensity ratings, with the goal to
19 "enhance" the F-scale. The engineers long had felt that the lack of calibration for the F-scale's
20 wind speed-damage relationship, notably at the high end, was associated with overestimates
21 of the wind speeds for F3-F5 damage. The structural engineers have believed steadfastly that
22 virtually all of the observed damage to frame homes could be accounted for by wind speeds
23 that would at most be somewhere near the transition from F3 to F4 (i.e., about 90 m s^{-1}).

24 However, mobile Doppler radar-measured velocities at the high end of the F5 class
25 ($\sim 142 \text{ m s}^{-1}$) have actually been observed within about 100 m of the ground on 3 May 1999
26 (Burgess et al. 2002). In fact, velocities approaching that high end were observed by mobile
27 Doppler radars as far back as 1991 (Bluestein et al. 1993). Furthermore, there is theoretical
28 evidence to support the transient occurrence of extreme wind speeds near the surface in the
29 range of Fujita's original F5 category or perhaps even beyond – see Fiedler and Rotunno
30 (1986), Fiedler (1998), and Lewellen and Lewellen (2007). Still, it continues to be
31 particularly difficult to determine just what wind speeds are associated with the "high-end"
32 *damage* produced by tornadoes. We have relatively little direct observational information
33 about the very complex interaction between tornadic winds and the structures they damage.
34 For reasons already discussed, we must continue to use damage in lieu of the desired wind
35 speed measurements.

1 Most structures damaged by tornadoes are not *engineered* to resist high wind speeds.
2 For such objects, it is especially challenging to assign wind speeds to the damage, as we will
3 discuss shortly. On rare occasions, however, engineered structures are found within the
4 tornado damage path and these can, to some extent, serve to "calibrate" the damage-wind
5 speed relationship. If a structure designed to resist wind speeds of V fails, then the wind
6 speeds must have exceeded V . Unfortunately, such unambiguous indicators are rare, and like
7 all damage indicators when the degree of damage is "completely destroyed", provide only a
8 lower bound on the wind speeds.

9 A complicating factor in the use of any damage indicator is that each example of any
10 particular indicator likely will not fail at exactly the same wind speed. Not all frame homes
11 are identical and specific failure points are never identical, either. Further, there is some
12 suggestion that the four-dimensional (three spatial dimensions and time) structure of the wind
13 field in tornadoes might be quite complex, with the temporal character of the high winds an
14 important issue. Thus, for example, after the Jarrell, Texas tornado of 27 May 1997, some
15 engineers (e.g., Phan and Simiu 1999) disputed its F5 rating, proposing that its relatively slow
16 movement meant that the *duration* of the tornadic wind speeds contributed significantly to the
17 complete destruction of homes in a Jarrell subdivision. According to their analysis, much
18 lower wind speeds than those associated with minimal F5 rating (117 m s^{-1}) could have
19 caused *all* the observed damage. Although we can offer no evidence to dispute their findings,
20 the wind speed necessary to produce complete destruction of a home is, again, only a lower
21 bound to the actual wind speed. As yet, no one has conducted any experiments to determine
22 the relationship between duration of the wind and the damage produced, especially at the
23 upper end of the F-scale.

24 Eventually, the effort to modify the wind speeds associated with the Fujita scale
25 resulted in the adoption of the EF-scale by the NWS, effective 1 February 2007 (Potter 2007).
26 An important part of the EF scale is the notion of *damage indicators* (cf. Fujita 1992).
27 Participants in the process of "enhancing" the F-scale were asked to propose what they
28 considered were useful indicators of the wind speeds in tornadoes, primarily to create new
29 indicators in addition to the "well-constructed" frame home that formed the primary indicator
30 for the F-scale as originally adopted. The synthesis of that input was a list of 28 damage
31 indicators to allow the members of a local NWS survey team to estimate the wind speeds
32 associated with an observed *degree of damage* for each indicator. That is, the observed
33 damage can fall somewhere between no damage and complete destruction of the indicator.
34 Files containing documentation of the indicators and degrees of damage recently have been
35 carried on a hand-held computer by local NWS survey teams, many of whom now have had

1 some limited training in the rating task. The scientists and engineers who developed the EF-
2 scale assigned a windspeed estimate to each degree of damage for every damage indicator.
3 These windspeed estimates were not done entirely objectively but rather were based primarily
4 on the opinions and experience of the participants. Of particular note is that the wind speeds
5 associated with the high-end indicators, including "well-constructed" USA frame homes were
6 revised substantially – downward.

7 Further, the minimum criteria for producing EF5 damage effectively have been
8 increased: complete destruction of a *typical* frame home in the USA would no longer be
9 considered adequate for an EF5 rating and perhaps not even for EF4. The home would have
10 to be constructed to a higher standard than in the era when the F-scale was the official rating
11 scale to qualify for an EF5 rating. This change in practice is without regard to the associated
12 wind speed estimates assigned to the EF-scale. The change occurred despite an informal
13 agreement among the original Forum participants that the EF-scale ratings should be identical
14 to F-scale ratings from the past, in order to maintain historical continuity. Actually, the
15 tendency to impose higher standards on F4+ damage began in the late 1970s, when structural
16 engineers began to emphasize the importance of considering the structural integrity of frame
17 homes in the path of specific tornadoes. Thus, we show next that there has been a continuing
18 evolution in tornado intensity ratings, especially for the F4+ events, that began well before the
19 adoption of the EF-scale.

20

21 **2.4 Documentation of Rating System Evolutionary Changes**

22 Although the overall number of reported tornadoes has increased dramatically since the early
23 1950s, the number of tornadoes rated F1 or greater (F1+) has been relatively constant, albeit
24 with considerable interannual variability, since 1953 (see Fig. 1). Most of the increase in the
25 annual tornado numbers is associated with an increase in tornadoes rated F0. Based on linear
26 regression, a slightly downward slope (corresponding to a decrease of 1.5 reports per year) is
27 present from 1953 to 2006, but is not statistically significant (a *p*-value of 0.15). In the
28 database, there are 27 885 tornadoes rated F1+ in the period 1950-2006. In order to see any
29 secular trends in damage reporting (cf. Brooks and Dotzek 2008), it is illustrative to consider
30 the number of tornadoes at higher thresholds normalized with respect to 1000 F1+ tornadoes.
31 That number of F1+ tornadoes corresponds to about 2-3 years of reports.

32 Early in the record, 500 or more of any run of 1000 F1+ tornadoes were rated F2+
33 (Fig. 1). However, since the early 1980s, that number has fallen to about 300. Although
34 causes for this cannot be known conclusively, it is pertinent to observe that the F-scale was
35 first implemented in real time by some NWS offices on a trial basis in 1972, and by the late

1 1970s it had been adopted throughout the NWS (McCarthy et al. 2006). Verbout et al. (2006)
2 have called attention to the possibility that the retrospective ratings for tornadoes before the
3 adoption of the F-scale produced a bias in the early record. A plausible description
4 summarizing the behavior seen in Fig. 1 is as follows: a period of relatively consistent ratings
5 into the early 1970s, followed by a period of inconsistent practices in the time near the
6 adoption of the F-scale that persisted into the 1990s, followed by a decade of relatively
7 consistent standards through the end of the 20th century. In particular, runs beginning in 1991
8 through 2000 were remarkably consistent, ranging from 276 to 339 F2+ tornadoes per 1000
9 F1+ tornadoes. Note that the run beginning at the end of 2000 includes tornadoes through
10 early 2003. We believe it may not be coincidental that early in the 1990s, the NWS produced
11 a formal guide for conducting damage surveys (Bunting and Smith 1993[†]) and was included
12 as part of the Doppler radar training course that all NWS forecasters were taking at the time.

13 No run of 1000 F1+ tornadoes beginning after the middle of April 2002 has had more
14 than 264 F2+ tornadoes. The lowest number to date was 201 for the period of July 2003
15 through June 2005. The reduction by one-third in the number of F2+ tornadoes is comparable
16 to that seen during the period following adoption of the F-scale. It began without a
17 comparable official change in rating practice and followed a decade of relatively consistent
18 ratings.

19 The unusual nature of the ratings from 2003 to the present is illustrated dramatically
20 when considering violent tornadoes (F4+) in the Southern Region of the NWS.[‡] We have
21 extended the record of F4+ tornadoes in the Southern Regions back to 1904 by using the
22 record of Grazulis (1993) for the period 1904-1949, and also have included the as-yet
23 preliminary F-scale ratings though September of 2007 for this analysis. During the period,
24 440 F4+ tornadoes were reported in the Southern Region, or approximately 4.2 annually. If
25 we consider the gaps between consecutive violent tornadoes, most of the gaps are less than
26 one year, indicating multiple violent tornadoes in a given year. Another clustering of gap
27 lengths is bounded on the high end by approximately one year, and a few longer gaps up to
28 about two years in length. By far, the longest gap is the 1393-day hiatus between the F4
29 tornadoes on 8 May 2003 and 1 March 2007. Only nine years in the 104-year period of
30 record 1904-2007 (inclusive) did not have at least one F4+ tornado in the NWS Southern
31 Region, but four of them are in the recent period 2002-2006. Assuming that consecutive
32 years are statistically independent (the calculated autocorrelation of the annual number of

[†] The Bunting and Smith text was originally written in 1990 and available to NWS forecasters but was not published as a technical memorandum until 1993. Brian Smith had been part of Fujita's graduate student survey team, participating in several surveys with Fujita before joining the NWS.

1 violent tornadoes is -0.07, so this is a reasonable assumption), the probability of three
2 consecutive years without a violent tornado, based on the 1904-2007 data, is approximately 1
3 in 10 000. Although meteorological causes cannot be ruled out definitively, it seems likely
4 that non-meteorological causes have to be considered likely for this low probability event,
5 given that overall tornado numbers have not changed dramatically.

6

7 **3 Challenging issues for tornado intensity rating systems**

8 **3.1. Recognized issues with the F-scale**

9 After the introduction and adoption of the F-scale in the 1970s, some troubling aspects of the
10 system became apparent. Perhaps the most glaring problem was that the F-scale is based on
11 only one primary damage indicator: a “well-constructed” wood frame home, which in the
12 USA is the typical structure in the path of a tornado when it crosses a populated area. Apart
13 from the ambiguity of just how the term “well-constructed” is defined, the fact that many
14 tornadoes do *not* strike populated areas raises serious challenges for estimating the intensity
15 of such events. If a tornado fails to hit a recognized damage indicator, a rating nevertheless is
16 required. In practice, this means that many tornadoes are given a “default” rating – often
17 either F0 or F1, unless there is some compelling reason in the opinion of the person doing the
18 ratings to give such an event a rating other than the default value. In the absence of any
19 information, it seems more appropriate to have the option to assign an intensity rating of
20 “unknown”, but official NWS policy mandates that *every* tornado be assigned an F-scale
21 rating, irrespective of what it hits.

22 Moreover, the existing database for tornadoes currently does not provide any way to
23 document the *source* for the rating. Without knowing the source(s) for the information used
24 to make the rating (which could include a diverse set of possibilities), the level of uncertainty
25 in the rating cannot be determined. If the rating is based on a detailed ground and aerial
26 survey by a team of scientists and engineers, the rating has a much lower uncertainty than if
27 the rating is estimated by an untrained person interpreting local newspaper accounts well after
28 the event.

29 In the few cases where an *engineered* structure is in the path, it is possible to assign a
30 wind speed (albeit, a lower bound) to the failure of this structure and so provide objective
31 information for assigning an F-scale rating. Also, if something extraordinary is observed
32 during a survey – such as pavement scoured from the roads or a heavy object (e.g., a railroad
33 car or a large farm implement) documented as having been airborne – a high rating could be

[‡] The NWS Southern Region includes the states of New Mexico, Oklahoma, Texas, Arkansas, Louisiana, Tennessee, Mississippi, Alabama, Georgia, and Florida.

1 assigned. Unfortunately, there is as yet no consensus about how to interpret these extreme
2 occurrences in terms of the wind speed necessary to produce them.

3 Most of the known challenges of applying the F-scale in actual practice are associated
4 with the wind speeds assigned to the degree of damage. The wind speeds originally were
5 defined by Fujita for each F-scale category without overlap. A windspeed of 157 mph[§] (70.2
6 m s⁻¹) is at the top of the F2 category, whereas a wind speed of 158 mph (70.6 m s⁻¹) is at the
7 bottom of the F3 category. This gives the illusion of great precision (1 mph or roughly 0.5 m
8 s⁻¹) in the associated wind speeds that is not justified by our knowledge of the actual wind
9 speeds in a tornado. As already noted, any particular example of a damage indicator will not
10 fail in exactly the same way, at exactly the same wind speed as every other example of that
11 indicator. Flying debris impacts can change the response of a structure to a given wind speed;
12 the orientation of the structure with respect to the wind can mean different degrees of damage;
13 the duration of the wind, the temporal acceleration of the wind, the presence (or absence) of
14 nearby structures, and many other factors can all influence the damage. The relationship
15 between damage and wind speed for any particular event involves the nonlinear interaction of
16 a complex wind field in space and time with a unique set of structures. We observe that
17 meteorologists tend to interpret variations in the damage to variations in the wind speed,
18 whereas structural engineers tend to interpret the same variations in damage as variations in
19 the structural integrity of the objects in the path. In reality, it is likely that *both* are always
20 involved to some degree, but it can be difficult to separate the contributions from wind and
21 structural variability.

22 The decades-old concern of structural engineers has been to determine the wind speeds
23 actually needed to produce a given degree of damage to a “well-constructed” frame home. It
24 is difficult to imagine putting a whole house into a wind tunnel and doing comprehensive tests
25 to calibrate the degree of damage as a function of wind speed, for homes incorporating a
26 variety of construction practices. Besides, the cost of building and then destroying dozens of
27 homes appears prohibitive. Even if it were feasible to do such a set of experiments, it is
28 impossible to simulate in a wind tunnel the actual evolution of the wind as a tornado
29 encounters a real home. It is likely that every particular tornado-structure interaction is
30 different in detail from any other. Further, including the effects of flying debris, as well as
31 rapid changes in the speed and direction of the wind, would be difficult to simulate in a wind
32 tunnel.

33 That variations in structural integrity make the notion of a “well-constructed” frame
34 home difficult to apply in practice is widely known now. When the F-scale first was adopted,

1 this effect was not widely recognized among meteorologists. Increasing awareness of
2 structural issues evidently has influenced the ratings over time, as noted above. When homes
3 in the USA are actually built, there is wide variation in how well the key attachment points in
4 the load path are secured. In places where building codes have been imposed (mainly cities),
5 home builders sometimes depart from the codes to increase profitability – some of those code
6 departures have been approved by local government as “variances,” but many are not.
7 Enforcement of building codes is not always effective, and much rural construction is done in
8 the absence of any building codes.

9 On a survey after a tornado has struck, those doing F-scale ratings need to be aware of
10 what to look for in terms of structural integrity, but they often have little or no experience
11 with violent tornado events and have been given only limited training in structural issues, if
12 any. Because NWS local office survey teams generally are meteorologists, not structural
13 engineers, structural engineering is not typically part of their education. A formal guide for
14 doing F-scale ratings was published by the NWS (Doswell 2003), coincidentally during the
15 time when the implementation of the EF-scale was being considered. Fujita (1992) was
16 aware of the problem with construction practice and developed his own proposed solution to
17 this problem by adding a separate damage scale, the “f-scale”, to the original, wind speed
18 scale-based, F-scale (Fig. 3). In his proposed methodology, the degree of damage to a
19 damage indicator was modified by knowledge of the structural integrity to arrive at a final
20 rating. This proposal was never adopted officially, but it does raise some points that we
21 discuss in the next section.

22
23

[§] As originally defined by Fujita, the F-Scale wind speed units were in miles per hour (mph).

1 **3.2. Desirable properties of a tornado intensity rating system**

2 There are three fundamentally important properties of tornado *intensity* rating systems, and
3 improving the quality of any one of them can degrade the quality of the others. As a result,
4 changes in the systems can have unintended consequences and require careful consideration
5 of the trade-offs.

6 The first desirable property is that it should resolve all physically possible wind speeds
7 and provide enough damage indicators to be broadly *applicable*, whatever the local conditions
8 along a given tornado path (cf. Brooks, 2004). Obviously, it would be optimal to have
9 observations of winds covering the time and space volume for every tornado but, as admitted
10 previously, in practice we have to fall back on damage to infer wind speeds.

11 Secondly, it should be *accurate*, in order to provide a climatology of intensity for all
12 reported tornadoes. Given the difficulty of estimating wind speeds from damage, this is a
13 challenging requirement. Clearly, there can be a fundamental trade-off between applicability
14 and accuracy – highly accurate estimates may not be possible in most cases, for lack of
15 appropriate indicators.

16 The third property is *consistency*. Ideally, the same process for ratings should be used
17 everywhere through all time, to remove secular trends in the database. Again, this may not be
18 feasible; differences in construction between countries and even within countries can make
19 consistent evaluation difficult, to say nothing of past inconsistencies. Further, our methods
20 inevitably evolve as the associated science, engineering, and technology change.

21 The recent changes in the USA’s historical rating system illustrate the trade-offs. In
22 principle, deploying the QRT as frequently as possible should help with accuracy and
23 consistency for the rating of violent (F4+) tornadoes. The contributions of experienced,
24 knowledgeable experts should lead to more accurate estimates done in consistent ways for
25 surveyed events. Unfortunately, the relatively small group of such experts, as well as the cost
26 of doing detailed ground and aerial surveys, limits the sample of events that can be surveyed
27 to violent tornadoes – it would be impractical to use them for every tornado. Implicit in using
28 the QRT is the unproven assumption that each of the experts would rate the same events
29 equally. It is likely that the local survey teams, generally characterized by relatively little
30 experience at the task, produce larger variability in how events are rated than the QRT
31 members. These hypotheses have never been tested, however.

32 Again, in principle, the EF-scale should improve the accuracy and breadth of
33 applicability in the USA. With more damage indicators, it becomes more likely that
34 something will be damaged that can be compared to a database of expert judgment.
35 Assuming that the expert judgments are accurate (which has not been tested), then that

1 accuracy should be reflected in the ratings. To some extent, one major strength of the F-scale
2 was its simplicity in having only one primary damage indicator, and it remains to be proven
3 that the relative complexity of the EF-scale rating system is really an improvement over the
4 simpler f- or F-scale systems.

5 Adoption of the EF-scale also raises disconcerting issues about consistency. Only if
6 NWS offices use the portable database appropriately is it likely that the ratings will be done in
7 similar ways around the USA, assuming that adequate training is provided. However, the
8 apparent reluctance within the NWS to utilize the QRT procedure for possibly violent
9 tornados has contributed to their climatologically implausible near-extinction in the recent
10 record. It can be argued that without a period of overlapping use between the F-scale and the
11 EF-scale, it is impossible to know whether the final ratings have changed because of the new
12 guidance. However, we have shown that rating practices started to change well *before* the
13 official adoption of the EF-scale in early 2007, after a period of consistent ratings in the
14 decade of the 1990s. Hence, it is doubtful that a period of dual operation would have been
15 worthwhile. The temporal consistency of the USA's tornado record evidently has been
16 compromised in several different ways, with the adoption of the EF-scale being another
17 example of evolving practice that likely can be attributed to the poorly understood
18 relationship between wind and damage.

19

20 **3.3. Implications for tornado ratings outside the USA**

21 The present design of the EF-scale has also serious implications for intensity ratings of
22 tornadoes and other small-scale damaging wind events worldwide. The original development
23 of the F-scale in the 1960s and 1970s, accompanied by the proposal of the conceptually
24 similar T-scale (Meaden, 1976)**, occurred during a “dark age” of tornado research in Europe,
25 in strong contrast to a very active period of such research between about 1850 and 1950 (cf.
26 Wegener 1917; Dotzek et al. 2008a). In this “dark age” period, recording and rating of
27 tornadoes was not consistently done on a routine basis in many European countries, as well as
28 most nations around the world outside of North America. Initiatives to advance and update
29 climatology and tornado hazard assessments mainly relied on the voluntary efforts of
30 individual scientists and thus were not sustainable: data were gathered only for particular
31 studies and not continued thereafter, or data collection ended with the retirement of the
32 dedicated person.

** The T-scale is essentially the same as the F-scale but has twice as many categories, which implies greater precision. It has not been shown that this implied precision increase can be justified.

1 A gradual improvement began in the early 1980s. At this time, perhaps encouraged by
2 the formal overview publication by Fujita (1981), the F-scale gained acceptance outside the
3 USA. Authors like Fuchs (1981) had already proposed a tornado rating system with steps of
4 intensity comparable to the F-scale classes F1–F3, but soon the F-scale became the most
5 widely applied intensity scale. The data used by Dotzek et al. (2003, 2005) and Feuerstein
6 et al. (2005) illustrate the F-scale's worldwide application. However, in contrast to the
7 development in the USA, tornado ratings in Europe never have been tied to one particular
8 damage indicator like the “well-constructed frame house”; rather, they have been based on *all*
9 the available damage information for each case, including damage to vegetation (cf. Wegener
10 1917). It is significant to note that in cases with neither damage nor windspeed information,
11 consequently no intensity rating had been assigned to the event.

12 To provide the link between the velocity intervals of the F-scale to the locally
13 observed damage, regional descriptions of typical damage were created in Europe, relying on
14 the fact that building construction standards were more homogeneous and generally higher
15 than in the central part of the USA. Dotzek et al. (2000) had set up such a damage description
16 valid for central Europe, in cooperation with Munich Re Group. Its basic treatment of
17 vegetation damage was later augmented by Hubrig (2004) and applied by Svabik and Holzer
18 (2005) in their analysis of tornadoes in Austria. The resulting description has been made
19 available online (www.tordach.org/pdf/FT_scales.pdf, with an English version augmented
20 with exemplifying damage photographs to appear under www.essl.org/research/scales/). The
21 experience with having only one definition of the wind speed intervals and then adding
22 regionally valid damage descriptions has been seen as beneficial, helping to ensure that
23 international tornado ratings refer to a uniform wind speed range and thereby remain
24 climatologically consistent and comparable.

25 Over the last ten years, awareness of tornadoes and other severe thunderstorm
26 phenomena has increased significantly, leading to increasing reports of tornado occurrence in
27 Europe (Dotzek 2003). We can expect several hundred tornadoes over land in Europe each
28 year, and the recently established European Severe Weather Database (ESWD,
29 www.essl.org/ESWD/, see Dotzek et al. 2008b) confirms these numbers. Presently, four
30 European national meteorological and hydrological services (NMHS) are collaborating with
31 the ESWD, but its main strength is to allow for public severe weather reports as well. This
32 strongly enhances the data density, especially in regions where the operational observing
33 networks are coarse or increasingly reliant on automatic stations. There are no default
34 intensity ratings in the ESWD for tornadoes with no or insufficient damage information, and
35 the source of information forming the basis of any intensity rating is part of the metadata

1 accompanying the report. Furthermore, if additional evidence becomes available for a
2 particular severe weather case later on, its ESWD record and potentially also its intensity
3 rating, can be revised in the quality-control procedure.

4 Dotzek et al. (2008b) have compared the intensity distribution of all rated tornadoes in
5 Europe to those from the USA in the time period 1920 to 1999. The two distributions are
6 very similar, except for a greater underreporting of weak tornadoes (F0 on the F-scale) that
7 persists in Europe. The similarity of the distributions is reassuring and gives us confidence
8 that worldwide homogeneity of tornado ratings is possible, so long as there is an agreed-upon
9 worldwide wind speed scale with regionally-adapted degree of damage descriptions tied to
10 those wind speeds.

11 By switching to the EF-scale with its revised wind speed estimates in the USA, the
12 consistency of ratings in Europe and worldwide is at stake. The F-scale has only recently
13 become an international standard, and many European nations still lack tornado records based
14 on F-scale of sufficient length to assess if introduction of a modified EF-scale – specifically,
15 adapted to local European construction practices – could bring any improvement. Some
16 persons doing the initial ratings have only limited experience and training. Yet, even though
17 the European Severe Weather Database will continue to also depend on volunteer reports
18 from the public, there is an increasing involvement of NMHS employees and ESSL staff in
19 the provision and quality-control of the ratings. Nevertheless, no European counterpart to the
20 QRT exists to date.

21 It is logically possible (but as yet unproven) that adoption of the EF-scale has
22 produced more accurate estimates of winds that cause damage in the USA. As noted, the EF-
23 scale is more complicated to apply and is directly applicable only to USA construction
24 practices. The effort to produce its decision matrix was considerable and it is not yet clear
25 that its benefits justify carrying out a similar effort in Europe to modify the EF-scale to
26 incorporate sufficient local knowledge of construction practices under the upcoming EU
27 building code. So, it is likely that for practical reasons, use of the F-scale in Europe will have
28 to continue, at least for some time.

29 Dotzek (2008) recently proposed the Energy- or “E-scale” as a wind speed scale that
30 can be calibrated and is coupled to physical quantities X like mass flux or momentum density
31 ($M = \rho v$, where ρ is air density and v is wind speed), kinetic energy density ($E = \rho v^2/2$) or
32 the kinetic energy flux density ($P = \rho v^3/2$). In short, a nonlinear scaling in these quantities
33

34
$$X^* (X - X_0)^n = a_x v^n \quad (1)$$

35

1 results in a universal windspeed-scale relation which is always linear in v :

2

$$3 v(X) = v^* (X - X_0) , \quad \text{with} \quad v^* = [a_x^{-1} X_*]^{1/n} . \quad (2)$$

4

5 In Eq. (1), the scaling quantity X_* , the prefactor a_x and the exponent n depend on either of the
6 physical observables M , E , P . The scaling velocity v^* in Eq. (2) is determined by the choice
7 of the critical values M_* , E_* , or P_* , allowing for calibration of the scale. The well-known
8 Mach scale is a special case of the E-scale.

9 In the initial proposal by Dotzek (2008), the scaling velocity was chosen to facilitate
10 conversion of existing worldwide F-scale data to their E-scale intervals. Results suggested
11 that mainly the high-F4 and F5 tornadoes would have to be re-rated^{††} and that for tornadoes
12 stronger than F3, the new thresholds lie at lower wind speeds than proposed in the original F-
13 scale. Thus, some of the requirements set up in developing the EF-scale are fulfilled by the
14 E-scale. Further, the E-scale wind speeds are applicable worldwide, they are open to
15 calibration, and they avoid the subjectivity of “expert elicitations” as done for the EF-scale.

16 For these reasons, coupling the E-scale concept to detailed regional damage
17 descriptions as done with the EF-scale for the USA may provide a way to overcome many of
18 the F-scale shortcomings without endangering the international consistency and the physical
19 basis for tornado ratings worldwide. With a suitable dialogue between atmospheric scientists
20 and wind engineers, this should be a manageable task.

21

22 4 Conclusions and recommendations

23 4.1 Conclusions

24 This paper has reviewed and identified the shortcomings of the original F-scale, despite its
25 greatest strength: simplicity. The shortcomings of the EF-scale have also been identified, as
26 well as its major strength: provision of a larger set of damage indicators. Although North
27 America has the highest tornado occurrence rate worldwide, and the USA continues to run the
28 most advanced programmes in tornado research and forecasting, it is evident that the methods
29 used for rating tornado intensity in the USA have been changing ever since the F-scale was
30 adopted. Replacement of the F-scale by the EF-scale is only the latest episode in the story of
31 that evolution. We have shown evidence for major secular trends in the data that are unlikely
32 to originate in real climatological changes. Therefore, we conclude that the USA tornado
33 intensity ratings have been compromised. We have shown this began prior to the adoption of

^{††} If the necessary metadata were available for the US record, see Sec. 3.1 and Dotzek (2008).

1 the EF-scale. It is likely that formal implementation of the EF-scale was premature, given the
2 continuing research efforts in relating wind measurements to observed damage levels.

3 Further, the EF-scale is openly associated with USA-specific construction practices.
4 This raises more concerns about its adoption. Although the most desirable tornado intensity
5 scale would be tied either directly (as was the original F-scale) or indirectly (as with the
6 proposed E-scale) to wind speeds, it is apparent that this continues to be impractical for doing
7 tornado intensity ratings. Before the adoption of the Richter scale by seismologists around
8 the world, which measures the magnitude of earthquakes by the energy released, it was
9 preceded by a subjective, damage-based intensity scale. The development and adoption of the
10 Richter scale was a great advance for seismology and we believe that ultimately some
11 objective measure of tornado wind speeds would be of similar value to tornado science.
12 Nevertheless, barring some unforeseen breakthrough in technology, a damage-based scale
13 remains the only practical alternative.

14

15 **4.2 Recommendations**

16 We have argued it would be highly desirable to find a procedure for tornado ratings open to
17 detailed, *regional* damage indicators and degree of damage descriptions and which relies on a
18 wind speed range categorization that encompasses the full range of wind speeds physically
19 possible in tornadoes. This procedure needs to have the flexibility to be recalibrated with new
20 findings from either wind engineering or mobile Doppler radar data, for instance.

21 It likely would be beneficial to establish formal international communication channels
22 to discuss rating issues. In the USA, there is an online forum for experts and NWS personnel,
23 although it is not evident that it is being used to its full extent. In Europe, similar fora exist,
24 mainly tied to the developing Skywarn network, but not yet fully established within the
25 European NMHSs. Although to obtain high-resolution wind speed measurements for
26 tornadoes anywhere in the world will remain impractical, we maintain that an accurate wind
27 speed-damage relationship as part of the tornado intensity rating scale should be continued.
28 The debate over that relationship will go on, but it seems likely that the existing EF-scale's
29 high-end wind speeds have been revised too far downward from the F-scales's original values
30 for what is physically possible in tornadoes. Adoption of the EF-scale appears to pre-empt
31 continuing debate on the topic, which we don't believe is a correct perception. The official
32 recognition of the EF-scale by the NWS does *not* signify that any formal process exists within
33 the NWS for making changes to the EF-scale, if needed. In fact, it is unclear just how such
34 changes could be implemented.

1 In this situation, the new E-scale concept (section 3.3) can help the scientists and
2 engineers to come to valid conclusions what a universal windspeed relation could be.
3 Therefore, we recommend a continued discussion between atmospheric sciences and wind
4 engineering in order to develop a synthesis of a (calibrated) E-scale and regionally adapted
5 damage indicator / degree of damage decision matrices.

6 We further recommend that if large changes are being considered in rating practice
7 outside the USA, a parallel period of rating with both systems should be used to gauge the
8 effects of the changes. There should be considerable dialog between those who will be
9 making tornado intensity ratings abroad and those with experience who are doing so in the
10 USA. Although countries outside the USA can and should develop their own methods, being
11 aware of the experiences from the USA seems valuable. We also urge the use of “unknown”
12 or “unrated” as a damage category for those cases in which insufficient evidence exists to
13 assign a rating with any confidence. We also recommend that some formal process for
14 continuing revision of the EF-scale needs to be established.

15 Finally, we believe that any database for documenting tornado occurrences should
16 include the capability for providing extensive metadata information about the sources used in
17 the documentation – as prescribed, for instance, in the ESWD data format
18 (www.essl.org/reports/tec/ESSL-tech-rep-2006-01.pdf). If it is accepted that *any* rating,
19 including those based on direct wind measurements, inevitably have some degree of
20 uncertainty, then source information is critical in estimating that uncertainty. This applies
21 not only to the tornado intensity rating – it also applies to all the other documentation (e.g.,
22 path width, path length, etc.).

23

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30

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- 26
- 27

1 **Figure captions**

2 Fig. 1: Annual counts of tornadoes rated F1 or greater (F1+) in the USA from 1950-2006
3 (solid circles) and the number of tornados rated F2 or greater (F2+) for consecutive runs of
4 1000 F1+ tornadoes (line). The F2+ count is for the period beginning with the date on the
5 horizontal axis, continuing until 1000 F1+ tornadoes are reported.

6

7 Fig. 2: The number of days until the next violent tornado (F4 or F5) occurs in the Southern
8 Region of the NWS from 1904-2007. The value of the points is the number of days from the
9 date of one violent tornado to the next violent tornado – for example, the maximum value
10 shown (1393 days) is plotted at the date of 8 May 2003 and represents the gap between that
11 date and 1 March 2007.

12

13 Fig. 3: Fujita's *f*-scale matrix from 1992 (units adapted).

14

15

Figures

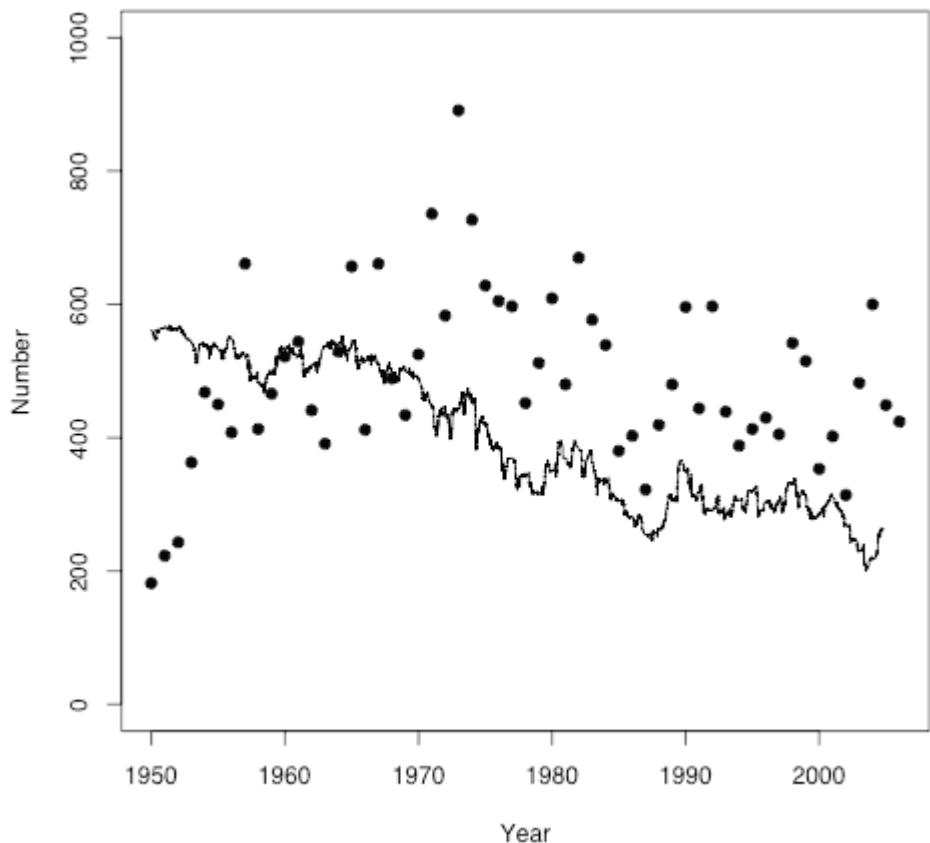


Fig. 1: Annual counts of tornadoes rated F1 or greater (F1+) in the USA from 1950-2006 (solid circles) and the number of tornados rated F2 or greater (F2+) for consecutive runs of 1000 F1+ tornadoes (line). The F2+ count is for the period beginning with the date on the horizontal axis, continuing until 1000 F1+ tornadoes are reported.

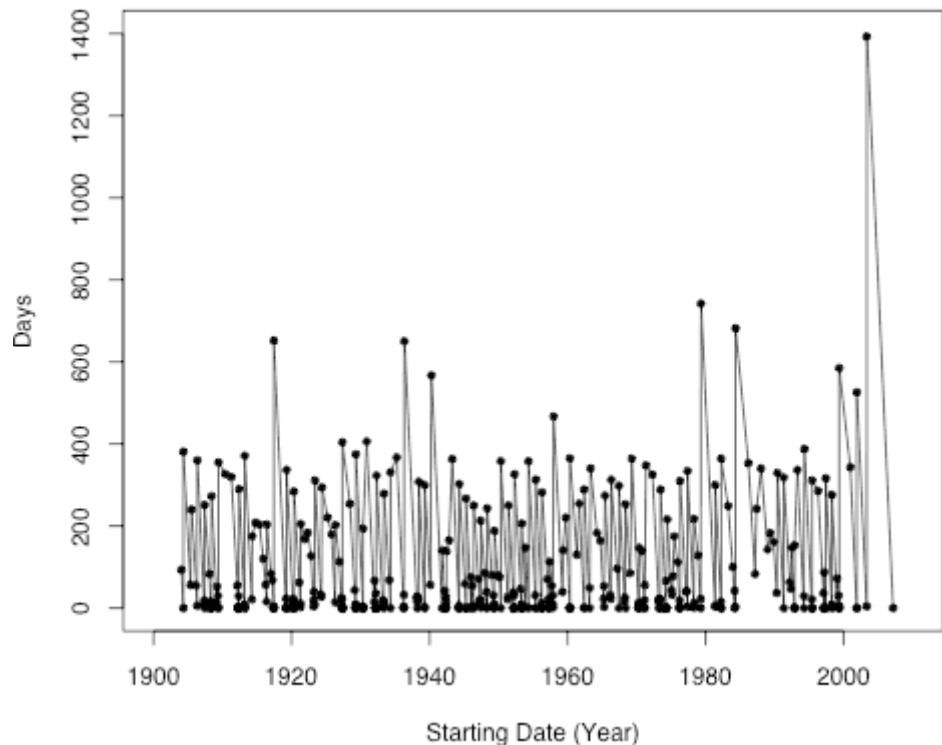


Fig. 2: The number of days until the next violent tornado (F4 or F5) occurs in the Southern Region of the NWS from 1904-2007. The value of the points is the number of days from the date of one violent tornado to the next violent tornado – for example, the maximum value shown (1393 days) is plotted at the date of 8 May 2003 and represents the gap between that date and 1 March 2007.

<u>Damage:</u>	Little Damage	Minor Damage	Roof Gone	Walls Collapse	Blown Down	Blown Away	
<u>f scale</u>	f0	f1	f2	f3	f4	f5	
<u>Windspeed:</u>	18 m/s	33	50	70	93	117	143
<u>F scale</u>	F0	F1	F2	F3	F4	F5	
	64 km/h	118	181	254	333	420	513
To convert f scale into F scale, add the appropriate number							
Weak Outbuilding	-3	f3	f4	f5	f5	f5	f5
Strong Outbuilding	-2	f2	f3	f4	f5	f5	f5
Weak Framehouse	-1	f1	f2	f3	f4	f5	f5
Strong Framehouse	0	F0	F1	F2	F3	F4	F5
Brick Structure	1	-	f0	f1	f2	f3	f4
Concrete Building	2	-	-	f0	f1	f2	f3

Fig. 3: Fujita's *f*-scale matrix from 1992 (units adapted).